

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Applicant: Joseph G. Capizzi

Docket No: KCX-316 (15606)

Serial No.: 10,007,627

Group No: 1731

Confirmation No: 9974

Examiner: M. Halpern

Filed: November 8, 2001

Date: September 9, 2003

Customer No: 22827

For: Foam Treatment Of Tissue Products

**RELATED U.S. PATENT APPLICATIONS**

ASSISTANT COMMISSIONER FOR PATENTS

P.O. Box 1450

Alexandria, VA 22313-1450

The following commonly assigned U.S. Patent Applications are being cited to the Examiner for review and consideration. Enclosed please find copies of these applications. Once the applications have been reviewed, it is requested that the Examiner place his or her initial to the left of the identified patents on the list document to indicate that the specific patent applications have been considered.

RELATED U.S. APPLICATIONS

<u>Examiner's Initial</u>	<u>Inventor</u>	<u>Serial Number</u>	<u>Filing Date</u>	<u>Title of Application</u>
_____	Joseph G. Capizzi	10/161,047	05/31/2002	Method of Applying a Foam Composition to a Tissue Products
_____	Joseph G. Capizzi	10/161,048	05/31/2002	Application of Foam to Tissue Products Using a Liquid Permeable Partition
_____	Joseph G. Capizzi	10/161,049	05/31/2002	Use Of Gaseous Streams To Aid In Application Of Foam To Tissue Products



## INFORMATION DISCLOSURE STATEMENT

\$1131  
DM-0703

In re Application of: Joseph G. Capizzi Attorney Docket No: KCX-316 (15606)  
Serial No: 10/007,627 Date: September 9, 2003  
Filed: November 8, 2001 Art Unit: 1731  
Confirmation No: 9974 Our Account No: 04-1403  
Customer No: 22827  
Title: Foam Treatment Of Tissue Products

Commissioner for Patents  
U.S. Patent and Trademark Office  
Post Office Box 1450  
Alexandria, VA 22313-1450

Sir:

The following is an Information Disclosure Statement for the captioned patent application, pursuant to 37 CFR Sections 1.56, 1.97, and 1.98.

1.[x] Attached hereto is:

- a.[x] A list of materials for consideration per Rule 98(a)(1): 1 page(s)
- b.[x] A legible copy of each patent, publication, or other item listed per Rule 98(1)(2), unless not required per Rule 98(c) and/or (d) and as indicated on the attached list(s):  
7 item(s)
- c.[ ] For each non-English language item listed, pursuant to Rule 98(a)(3), a concise explanation of the relevance thereof as it is presently understood by the individual designated in Rule 56(c) most knowledgeable about the content of such items: \_\_\_\_\_

[ ] Such explanation is provided in the Search Report from a corresponding application enclosed herewith along with any enclosed translation into English.

2.[x] This Information Disclosure Statement is being filed [CHECK ONE]:

- a.[ ] WITHIN THREE MONTHS of the application filing date, national stage date of entry, or along with or after a request for continued examination, OR BEFORE the mailing date of a first Office Action on the merits, which ever event occurs last, WHEREFORE per Rule 97(b) NO filing fee or Rule 97(e) certificate is required.
- b.[x] AFTER the time periods of section 2.a above, but BEFORE a Final Action, Notice of Allowance OR an action that otherwise closes prosecution, WHEREFORE PER Rule 97(c) submitted herewith is [CHECK ONE]:
- i.[ ] Certification per Rule 97(e); OR
- ii[x] Filing Fee per Rule 17(p) .....\$180.00
- c.[ ] AFTER a Final Action OR Notice of Allowance, but BEFORE payment of the issue fee, WHEREFORE per Rule 97(d) submitted herewith is:
- i. Certification per Rule 97(e); AND
- ii. Filing fee per Rule 17(p) .....\$180.00

3.[ ] Rule 97(e) Certification; per Rule 97(e), the undersigned certifying party make the following certification statement [CHECK ONE]:

- a.[ ] That each item of information contained in this Information Disclosure Statement was first cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this statement; OR
- b.[ ] That no item of information contained in this Information Disclosure Statement was cited in a foreign patent office in a counterpart foreign application and to the knowledge of the undersigned after making a reasonable inquiry, was known to any individual designated in Rule 56(c) more than three months prior to the filing of this statement.

CERTIFYING PARTY (if different from bottom signature; omission here indicates that certification is being made by signer per signature below).

Name: \_\_\_\_\_  
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Signature: \_\_\_\_\_  
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- 4.[x] DEPOSIT ACCOUNT AUTHORIZATION: The Commissioner is hereby authorized to charge any fee specifically authorized hereafter, or any fees in addition to the fee(s) filed, or asserted to be filed, or which should have been filed herewith or concerning any paper filed hereafter, and which may be required under Rules 16-18 (deficiency only) now or hereafter relative to this application and the resulting official document under Rule 20, or credit any overpayment, to our Account No. shown in the heading hereof for which purpose a duplicate copy of this sheet is attached. This statement does not authorize charge of the issue fee in this case.

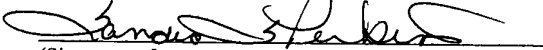
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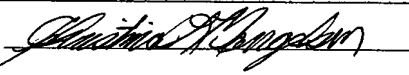
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Reg. No: 50,244

Signature: 

Date: September 9, 2003



Information Disclosure Statement List By Applicant Under 37 CFR Section 1.98(a) (1) (Use several sheets if necessary)	Attorney Docket Number KCX-316 (15606)	Serial Number 10/007,627
	Applicant Joseph G. Capizzi	
	Filing Date: November 8, 2001 Confirmation No.: 9974	Group 1731

## NOTE:

If no indication is made in the column marked "COPY NOTE," the required legible copy of the corresponding item is submitted herewith; otherwise, a copy is not required and/or not submitted, for the following reason(s) [corresponding reason number is listed in "COPY NOTE" column]

- (1) This item is cumulative, per Rule 98(c)
- (2) A copy of this item was previously cited by or submitted to the U.S. Patent and Trademark Office in:  
USSN \_\_\_\_\_, filed \_\_\_\_\_, or  
USSN \_\_\_\_\_, filed \_\_\_\_\_;  
Relied on under 35 U.S.C. Section 120, per Rule 98(d)
- (3) Both reasons (1) and (2) apply
- (4) No legible complete copy is possessed, in custody of controlled, or readily available

U.S. PATENT DOCUMENTS												
EXAMINER INITIALS		PATENTEE NAME	PATENT NUMBER							ISSUE DATE	COPY NOTE	
		Raterman, et al.	5	5	2	4	8	2	8	06/11/1996		
		Foster, et al.	5	7	9	5	3	8	6	08/18/1998		

FOREIGN PATENT DOCUMENTS															
EXAMINER INITIALS		COUNTRY	DOCUMENT NUMBER							PUBLICATION DATE	TRANSLATION			COPY NOTE	
											YES	NO	N/A		
		GB	1	5	8	5	8	7	4		03/11/1981			X	
		WO	0	2	1	6	6	8	9	A2	02/28/2002			X	
		WO	0	2	1	6	6	8	9	A3	02/28/2002			X	
		WO	0	2	3	8	8	6	5	A2	05/16/2002			X	
		WO	0	2	3	8	8	6	5	A3	06/16/2002			X	

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EXAMINER INITIALS	OTHER DOCUMENTS	COPY NOTE
	Specify author (if any), Title, Pertinent Pages, Date & Place of Publication	
EXAMINER	DATE CONSIDERED	
Examiner:	initial if citation considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not in conformance and not considered. Include a copy of this form with the next communication to applicant.	